

## PHARMACEUTICAL COMPOSITIONS CONTAINING BETA-LAPACHONE, OR DERIVATIVES OR ANALOGS THEREOF, AND METHODS OF USING SAME

### RELATED APPLICATION

5 The present application claims priority under 35 U.S.C. § 120 to U.S. provisional patent application serial no. 60/308,395, which was filed on July 31, 2001 and which is incorporated by reference herein in its entirety.

### FIELD OF THE INVENTION

10 The present invention is directed to pharmaceutical compositions and formulations, as well as methods of administering these pharmaceutical compositions and formulations, which comprise  $\beta$ -lapachone (Beta-lapachone), or a derivative or analog thereof, complexed or combined with a solubilizing carrier molecule for enhancing the solubility of  $\beta$ -lapachone in different solvent systems.

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### BACKGROUND OF THE INVENTION

20 Over 1.22 million new cancer cases will be diagnosed in the U.S. in the year 2001 alone. With more than 563,000 deaths annually, cancer is the second leading cause of death behind heart disease (UBS Warburg "Disease Dynamics: The Cancer Market", Nov. 8, 2000). Surgery and radiotherapy may be curative if the disease is found early, but current drug therapies for metastatic disease are mostly palliative and seldom offer a long-term cure. Even with the new chemotherapies entering the market, improvement in patient survival is measured in months rather than in years, and the need continues for new drugs effective both in combination with existing agents as first line therapy and as second and third line therapies in treatment of resistant tumors.

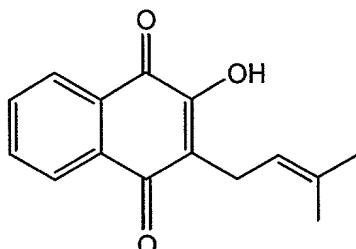
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30 In the past, the most successful drug treatment regimens have combined two or more agents, each of which has a different mechanism of action and each of which has antitumor activity when used individually. Even though their mechanisms of action differ, most of the agents currently used for chemotherapy of cancer, including alkylating agents, platinum analogs, anthracyclines and the camptothecin family of topoisomerase inhibitors, have in common the property of severely damaging DNA, hence their designation as "DNA-damaging agents".

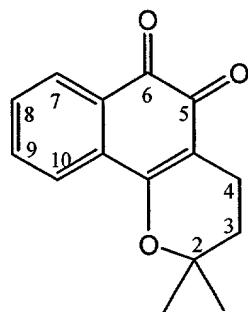
Radiotherapy works similarly. Most DNA-damaging agents as well as the microtubule-targeting agents (e.g., paclitaxel) cause the arrest of cells at the G<sub>2</sub>/M transition phase of the cell cycle, a major cell cycle checkpoint where cells make a commitment to repair DNA or to undergo apoptosis if DNA damage is irreparable. Recently, interest has grown in identifying new 5 therapeutic agents to further exploit cell checkpoint functions.

$\beta$ -lapachone (3,4-dihydro-2,2-dimethyl-2H-naphtho[1,2-b]pyran-5,6-dione), a quinone, is derived from lapachol (a naphthoquinone) which can be isolated from the lapacho tree (*Tabebuia avellanedae*), a member of the catalpa family (*Bignoniaceae*). Lapachol and  $\beta$ -lapachone (with numbering) have the following chemical structures:

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Lapachol



Beta-Lapachone

$\beta$ -lapachone, as well as its intermediates, derivatives and analogs thereof, are described in Li, C.J. *et al.*, *J. Biol. Chem.*, 1993. Like camptothecin and topotecan,  $\beta$ -lapachone inhibits DNA Topoisomerase I (Li, C.J., *et al.*, *J. Biol. Chem.*, 1993), although by a different mechanism.

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A number of  $\beta$ -lapachone analogs have been disclosed in the art, such as those described in PCT International Application PCT/US93/07878 (WO94/04145), which is incorporated by reference herein, and U.S. Pat. No. 6,245,807, incorporated by reference herein, in which a variety of substituents may be attached at positions 3- and 4- on the  $\beta$ -lapachone compound.

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PCT International Application PCT/US00/10169 (WO 00/61142), incorporated by reference herein, discloses  $\beta$ -lapachone, which may have a variety of substituents at the 3- position as well as in place of the methyl groups attached at the 2-position. U.S. Pat. Nos. 5,763,625, 5,824,700, and 5,969,163, each of which is incorporated by reference herein, disclose analogs and derivatives with a variety of substituents at the 2-, 3- and 4-positions, . Furthermore, a number

of journals report  $\beta$ -lapachone analogs and derivatives with substituents at one or more of the following positions: 2-, 3-, 8- and/or 9-positions, (See, Sabba *et al.*, *J Med Chem* 27:990-994 (1984) (substituents at the 2-, 8- and 9- positions); (Molina Portela and Stoppani, *Biochem Pharm* 51:275-283 (1996) (substituents at the 2- and 9- positions); Goncalves *et al.*, *Molecular and Biochemical Parasitology* 1:167-176 (1998) (substituents at the 2- and 3- positions)).

As a single agent,  $\beta$ -lapachone has demonstrated significant antineoplastic activity against human cancer cell lines at concentrations typically in the range of 1-10  $\mu$ M (IC<sub>50</sub>). Cytotoxicity has been demonstrated in transformed cell lines derived from patients with promyelocytic leukemia (Planchon *et al.*, *Cancer Res.*, 55 (1996) 3706), prostate (Li, C.J., *et al.*, 10 *Cancer Res.*, 55 (1995) 3712), malignant glioma (Weller, M. *et al.*, *Int. J. Cancer*, 73 (1997) 707), hepatoma (Lai, C.C., *et al.*, *Histol Histopathol*, 13 (1998) 8), colon (Huang, L., *et al.*, *Mol Med*, 5, (1999) 711), breast (Wuertzberger, S.M., *et al.*, *Cancer Res.*, 58 (1998) 1876), ovarian (Li, C.J. *et al.*, *Proc. Natl. Acad. Sci. USA*, 96(23) (1999) 13369-74), pancreatic (Li, Y., *et al.*, *Mol Med*, 6 (2000) 1008; Li, Y.Z., *Mol Med*, 5 (1999) 232), and multiple myeloma cell lines, including drug-resistant lines (Li, Y., *Mol Med*, 6 (2000) 1008). No cytotoxic effects were observed on normal fresh or proliferating human PBMC (Li, Y., *Mol Med*, 6 (2000) 1008).

$\beta$ -lapachone has been shown to be a DNA repair inhibitor that sensitizes cells to DNA-damaging agents including radiation (Boothman, D.A. *et al.*, *Cancer Res.*, 47 (1987) 5361; Boorstein, R.J., *et al.*, *Biochem. Biophys. Commun.*, 117 (1983) 30). Although its exact 20 intracellular target(s) and mechanism of cell killing remain unknown,  $\beta$ -lapachone has also shown potent *in vitro* inhibition of human DNA Topoisomerases I (Li, C.J. *et al.*, *J. Biol. Chem.*, 268 (1993) 22463) and II (Frydman, B. *et al.*, *Cancer Res.* 57 (1997) 620) with novel mechanisms of action. Unlike topoisomerase "poisons" (e.g., camptothecin, etoposide, doxorubicin) which stabilize the covalent topoisomerase-DNA complex and induce 25 topoisomerase-mediated DNA cleavage,  $\beta$ -lapachone interacts directly with the enzyme to inhibit catalysis and block the formation of cleavable complex (Li, C.J. *et al.*, *J. Biol. Chem.*, 268 (1993) 22463) or with the complex itself, causing religation of DNA breaks and dissociation of the enzyme from DNA (Krishnan, P. *et al.*, *Biochem Pharm*, 60 (2000) 1367).  $\beta$ -lapachone and its derivatives have also been synthesized and tested as anti-viral and anti-parasitic agents

(Goncalves, A.M., *et al.*, *Mol. Biochem. Parasitology*, 1 (1980) 167-176; Schaffner-Sabba, K., *et al.*, *J. Med. Chem.*, 27 (1984) 990-994).

More specifically,  $\beta$ -lapachone appears to work by disrupting DNA replication, causing cell-cycle delays in G1 and/or S phase, inducing either apoptotic or necrotic cell death in a wide variety of human carcinoma cell lines without DNA damage and independent of p53 status (Li, Y.Z. *et al* (1999); Huang, L. *et al.*). Topoisomerase I is an enzyme that unwinds the DNA that makes up the chromosomes. The chromosomes must be unwound in order for the cell to use the genetic information to synthesize proteins;  $\beta$ -lapachone keeps the chromosomes wound tight, so that the cell cannot make proteins. As a result, the cell stops growing. Because cancer cells are constantly replicating and circumvent many mechanisms that restrict replication in normal cells, they are more vulnerable to topoisomerase inhibition than are normal cells.

Another possible intracellular target for  $\beta$ -lapachone in tumor cells is the enzyme NAP(P)H:quinone oxidoreductase (NQO1). Biochemical studies suggest that reduction of  $\beta$ -lapachone by NQO1 leads to a “futile cycling” between the quinone and hydroquinone forms with a concomitant loss of reduced NADH or NAD(P)H (Pink, J.J. *et al.*, *J. Biol Chem.*, 275 (2000) 5416). The exhaustion of these reduced enzyme cofactors may be a critical factor for the activation of the apoptotic pathway after  $\beta$ -lapachone treatment.

As a result of these findings,  $\beta$ -lapachone is actively being developed for the treatment of cancer and tumors. In WO0061142, for example, there is disclosed a method and composition for the treatment of cancer, which comprises the administration of an effective amount of a first compound, a G1 or S phase drug, such as a  $\beta$ -lapachone, in combination with a G2/M drug, such as a taxane derivative. Additionally, U.S. Pat. No. 6,245,807 discloses the use of  $\beta$ -lapachone, amongst other  $\beta$ -lapachone derivatives, for use in the treatment of human prostate disease.

One obstacle, however, to the development of pharmaceutical formulations comprising  $\beta$ -lapachone for parenteral administration is the low solubility of  $\beta$ -lapachone in pharmaceutically acceptable solvents.  $\beta$ -lapachone is highly insoluble in water and has only limited solubility in common solvent systems used for parenteral administration, specifically for intravenous delivery of drugs. As a result, there is a need for improved formulations of  $\beta$ -lapachone for parenteral administration, which are both safe and readily bioavailable to the subject to which the formulation is administered.

## SUMMARY OF THE INVENTION

The present invention is directed generally to pharmaceutical compositions containing  $\beta$ -lapachone for use in the treatment of mammalian cancers and which overcome the disadvantages and obstacles of prior art compositions. More specifically, the invention is directed to 5 pharmaceutical compositions containing  $\beta$ -lapachone, or a derivative or analog thereof, and a pharmaceutically acceptable solubilizing carrier molecule for use in the treatment of mammalian cancers, including lung, breast, colon, ovarian and prostate cancers, multiple myeloma and malignant melanoma. The pharmaceutical composition may be complexed or combined with the pharmaceutically acceptable solubilizing carrier molecule to form a unitary composition or an 10 inclusion complex. The pharmaceutically acceptable solubilizing carrier molecule is advantageously a water-solubilizing carrier molecule or an oil-based solubilizing carrier molecule.

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The present invention provides pharmaceutical compositions of  $\beta$ -lapachone, or a derivative or analog thereof, and a pharmaceutically acceptable solubilizing carrier molecule that enhances the solubility of the  $\beta$ -lapachone and renders it bioavailable in mammalian bodies and suitable for parenteral administration. The concentration of  $\beta$ -lapachone in solution is preferably at least 1mg/ml, more preferably at least 3 mg/ml, even more preferably at least 5 mg/ml. For concentrated pharmaceutical compositions, we contemplate concentrations of  $\beta$ -lapachone of 10 mg/ml or greater.

20 The present invention also provides pharmaceutical compositions containing  $\beta$ -lapachone and pharmaceutically acceptable solubilizing carrier molecules in combination with a taxane derivative or other anticancer agent, for use in the treatment of mammalian cancers.

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The present invention also provides formulations of  $\beta$ -lapachone, or a derivative or analog thereof, complexed with pharmaceutically acceptable solubilizing carrier molecules, wherein the complex can be freeze-dried and when subsequently reconstituted in aqueous solution is substantially soluble.

The present invention further provides methods for treating mammalian cancers by administering to a patient the pharmaceutical compositions and formulations of the present invention.

The present invention also provides pharmaceutical kits which comprise one or more containers containing a pharmaceutical composition comprising a therapeutically effective amount of  $\beta$ -lapachone, or a derivative or analog thereof. Such kits may include, if desired, one or more of various conventional pharmaceutical kit components, such as, for example, containers 5 with one or more pharmaceutically acceptable carriers, additional containers, etc. Printed instructions, either as inserts or as labels, indicating quantities of the components to be administered, guidelines for administration, and/or guidelines for mixing the components, may also be included in the kit.

The above description sets forth rather broadly the more important features of the present 10 invention in order that the detailed description thereof that follows may be understood, and in order that the present contributions to the art may be better appreciated. Other objects and features of the present invention will become apparent from the following detailed description considered in conjunction with the accompanying drawings. It is to be understood, however, that the drawings are designed solely for the purposes of illustration and not as a definition of the 15 limits of the invention, for which reference should be made to the appended claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be better understood by reference to the appended figures in which:

FIG. 1 is a bar graph illustrating the relative solubility of  $\beta$ -lapachone in aqueous solutions of various solubilizing agents;

20 FIG. 2 is a bar graph illustrating the solubility of  $\beta$ -lapachone as a function of hydroxypropyl- $\beta$ -cyclodextrin concentration (HPBCD);

FIG. 3 is an HPLC chromatogram of a 5mg/ml  $\beta$ -lapachone solution in 20% hydroxypropyl- $\beta$ -cyclodextrin concentration;

FIG. 4 is a chart illustrating the inhibition of cancer cell survival by  $\beta$ -lapachone and

25 Taxol®

FIG. 5 is a chart showing the growth inhibitory profile of  $\beta$ -lapachone in combination with Taxol® against ovarian tumor cell lines as determined by MTT assay;

FIG. 6 is an isobologram showing synergistic drug-drug interaction for  $\beta$ -lapachone and Taxol® in the OVCAR-3 ovarian tumor cell line;

FIG. 7 is an isobologram showing synergistic drug-drug interaction for  $\beta$ -lapachone and Taxol® in the MDAH-2774 ovarian tumor cell line;

FIG. 8 is a graph illustrating the cytotoxic effect of  $\beta$ -lapachone on Cisplatin-sensitive (A2780s) and Cisplatin-resistant (A2780DDP) ovarian cancer lines;

5 FIG. 9 is a bar graph illustrating the synergistic effect of  $\beta$ -lapachone plus Taxol® in mouse model of human ovarian carcinoma; and

FIG. 10 illustrates anti-tumor activity of  $\beta$ -lapachone and Taxol® in human breast cancer xenograft model.

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#### DETAILED DESCRIPTION OF THE INVENTION

$\beta$ -lapachone, as well as its derivatives and analogs thereof (also referred to herein as the "active compounds"), are described in Li, C.J. *et al.*, *J. Biol. Chem.*, 1993. These active compounds can be incorporated into pharmaceutical compositions suitable for parenteral administration. Such compositions typically comprise the active compound and a pharmaceutically acceptable carrier, excipient, diluent or adjuvant. However, the low solubility of  $\beta$ -lapachone in most pharmaceutically acceptable solvents has been an obstacle to the development of a suitable formulation for parenteral administration, particularly intravenous administration. Table 1 illustrates the limited solubility of  $\beta$ -lapachone in common solvent systems used for intravenous delivery of drugs. Preclinical pharmacokinetic data produced to date suggest that the ideal peak plasma concentration is in the range of 10  $\mu$ g/ml. To achieve this plasma concentration, an intravenous formulation must have a  $\beta$ -lapachone concentration approaching 10 mg/ml and be able to be diluted 5X-10X with sterile fluids for intravenous administration, such as saline or D5W.

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Table 1:

Solvent System	$\beta$ -lapachone Solubility (mg/ml)	
	Undiluted (mg/ml)	5 X dilution* (mg/ml)
Poloxamer 20%	2.0350	0.0331
Povidone K17 20%	1.8250	0.0312
Povidone K12 20%	1.8600	0.0313
Tween 80	11.1700	1.6550
EtOH 76%	10.6600	0.1025
PEG 400	11.6800	0.1400
Propylene Glycol	8.7800	0.0950
Trappsol 20%	1.4650	0.0300

\* Diluted in 0.9% saline

The maximum solubility of  $\beta$ -lapachone in the solvents listed in Table 1 was about 12 mg/ml. Upon dilution, the solubility decreased more than the dilution factor in all the systems.

5 Although various preclinical studies have used a variety of common solvent systems, such as lipiodol, peanut oil, Cremophor/ethanol or PEG4000, for i.p. and i.v. dosing, none of these approaches have yet demonstrated suitability for development of an i.v. formulation for use in the clinic. Combining, mixing and/or complexing  $\beta$ -lapachone with a pharmaceutically-acceptable water-solubilizing carrier molecule, which is advantageously hydroxypropyl- $\beta$ -cyclodextrin (HPBCD) has, however, been shown to increase the aqueous solubility of  $\beta$ -lapachone with concentrations as high as 20 mg/ml in 50% HPBCD solution as illustrated in Table 2.

Table 2:

HPBCD in Water	$\beta$ -lapachone (highest conc.) (mg/ml)
10%	3.07
20%	7.04
30%	10.78
40%	15.77
50%	19.74

15 These  $\beta$ -lapachone/HPBCD solutions have been shown to be stable for extended periods at room temperature and can be further diluted with sterile fluids for IV administration (e.g., sterile saline, D5W) and held for at least 24 hours without precipitation of  $\beta$ -lapachone. The  $\beta$ -lapachone/HPBCD solutions may also be sterile filtered, lyophilized and readily reconstituted in

water. Experimentation has determined that HPBCD@20%/ $\beta$ -lapachone@5mg/ml provides an excellent concentration for easy lyophilization and relatively fast reconstitution. The invention is not limited in this respect, however, and concentrations of  $\beta$ -lapachone as low as 1mg/ml have been prepared and determined to be stable and capable of being lyophilized and reconstituted.

5 The combining or complexation of  $\beta$ -lapachone with HPBCD also appears to improve the stability of  $\beta$ -lapachone to photoreduction compared with complexation of  $\beta$ -lapachone with ethanol solutions.

Further study of  $\beta$ -lapachone in aqueous HPBCD solutions has demonstrated that the solubility of  $\beta$ -lapachone increases linearly with the increase in HPBCD concentration. Upon 10 to 100 times dilution, the decrease of  $\beta$ -lapachone concentration in all HPCD systems is proportional to the dilutions made.

15 Cyclodextrins are crystalline, nonhygroscopic cyclic oligomers of  $\alpha$ -D-glucopyranose derived from starch. As a result of a lack of rotation about the bonds connecting the glucopyranose units, the cyclodextrins are not cylindrical, but toroidal in shape. Because of this restricted rotation they have a rigid structure with a central cavity whose size varies according to the number of glucopyranose units in the molecule. The three most common cyclodextrins are  $\alpha$ -cyclodextrin,  $\beta$ -cyclodextrin and  $\gamma$ -cyclodextrin, and which consist of six, seven and eight glucopyranose units respectively. Due to the arrangement of hydroxyl groups within the cyclodextrin molecule and the shape of the molecule, the internal surface of the cavity is hydrophobic, while the outside surface is hydrophilic. The primary hydroxyl groups are located on the narrower (inner) side of the toroidal molecule, while the secondary hydroxyl groups are located on the wider (outer) edge. This arrangement permits the cyclodextrins to accommodate a wide variety of small hydrophobic molecules within the hydrophobic cavity by forming an inclusion complex.

20 The HPBCD has seven glucopyranose units and has hydroxypropyl groups attached to each glucopyranose unit on the outer surface of the toroidal structure. The solubility of HPBCD in water has been shown to be far superior than that of  $\beta$ -cyclodextrin. The introduction of the hydroxypropyl groups into the  $\beta$ -cyclodextrin renders it more soluble by disrupting the intramolecular hydrogen bonding between hydroxyl moieties on the cyclodextrin cavity. As a 30 result, inclusion complexes formed by HPBCD will also have higher solubility in water

compared to inclusion complexes formed by  $\beta$ -cyclodextrins. The degree of substitution determines the solubility and complexation patterns. The lesser the substitution, the more the binding will be similar to that of unsubstituted cyclodextrin in terms of binding, as well as solubility. Higher substitution renders the cyclodextrin more soluble in water but less binding.

5 The degree of substitution of cyclodextrins is easily controlled.

When complexing  $\beta$ -lapachone with a water-solubilizing carrier molecule in accordance with the present invention, the complexed solution generally becomes a unitary composition, or in the case where the water-solubilizing carrier molecule is a HPBCD, an inclusion complex is formed wherein the insoluble  $\beta$ -lapachone is within the cyclodextrin cavity. The invention is not limited, however, to the formation of a complex.

10 Although HPBCD is the preferred solubilizing agent, the invention is not limited in this respect, and other water-solubilizing agents for combining with  $\beta$ -lapachone, such as Poloxamer, Povidone K17, Povidone K12, Tween 80, ethanol, Cremophor/ethanol, polyethylene glycol 400, propylene glycol and Trappsol, are contemplated. Furthermore, the invention is not limited to water-solubilizing agents, and oil-based solubilizing agents such as lipiodol and peanut oil, may also be used.

15 Surfactants are also contemplated as part of the present invention for solubilization of  $\beta$ -lapachone. It is necessary, however that the surfactant(s) used must be present at a high enough level when  $\beta$ -lapachone is diluted in water so that there is sufficient surfactant to retain the  $\beta$ -lapachone in solution. However, there cannot be too much surfactant to cause intolerable side effects.

20  $\beta$ -lapachone emulsions may also be formed and are contemplated by the present invention. Emulsions may be prepared which comprise a therapeutically effective amount of  $\beta$ -lapachone in one or more emulsifiers or emulsifying agents which may result in an oil-in-water-type emulsion for parenteral administration. Suitable emulsifiers or emulsifying agents may include, but are not limited to, any pharmaceutically acceptable emulsifier, preferably phospholipids extracted from egg yolk or soy bean, synthetic phosphatidyl cholines or purified phosphatidyl cholines from vegetable origin. Hydrogenated derivatives can also be used, such as phosphatidyl choline hydrogenated (egg) and phosphatidyl choline hydrogenated (soya).  
25 Emulsifiers may also be non-ionic surfactants such as poloxamers (for example Poloxamer 188

and 407), poloxamines, polyoxyethylene stearates, polyoxyethylene sorbitan fatty acid esters or sorbitan fatty acid esters. Ionic surfactants may also be used such as cholic acid and deoxycholic acid or surface active derivatives or salts thereof. The emulsifier can also be a mixture of one or more of the above ingredients. The emulsion may additionally contain other ingredients such as 5 buffers, stabilizers and other lipids.

Intralipid® is a fat emulsion for injection. Fat emulsions may contain egg yolks, soybean oil, and safflower oil. Intralipid®, marketed in the U.S. as Liposyn II® and Liposyn III® (Abbot Laboratories, Abbott Park, Illinois), may be used as a source of calories and fatty acids to maintain or increase the weight of the patient to whom it is administered, or it may be used as a 10 vehicle for poorly water-soluble lipophilic drugs that cannot be injected directly. Intralipid® and Liposyn II® are marketed in both a 10% and 20% concentration. In accordance with the present invention, an emulsion comprising  $\beta$ -lapachone and Intralipid®, or any other pharmaceutically acceptable fat emulsion, may be prepared for parenteral administration to a patient.

Recent *in vitro* and *in vivo* studies have shown that  $\beta$ -lapachone demonstrates significant 15 synergy with other chemotherapeutic and anticancer agents, particularly cis-platinum, and taxane derivatives, such as Taxol® (paclitaxel) (Bristol-Myers Squibb Co., New York, N.Y.).

WO0061142, for example, discloses a method and composition for the treatment of cancer, 20 which comprises the administration of an effective amount of a first compound, a G1 or S phase drug, such as a  $\beta$ -lapachone, in combination with a G2/M drug, such as a taxane derivative. By virtue of both its major functional characteristics -- synergy with other chemotherapy drugs and activity against resistant cells -- the use of  $\beta$ -lapachone may significantly increase the rate of long term remission of numerous cancers, including ovarian, breast, prostate, colon, pancreatic and multiple myeloma.

As recited, the pharmaceutical composition and formulations of the present invention are 25 intended for parenteral administration, preferably intravenous administration. The invention is not, however, limited in this respect and liquid pharmaceutical compositions and formulations in accordance with the present invention may be prepared for oral ingestion.

Advantageously, pharmaceutical compositions for parenteral administration comprise a desired amount of  $\beta$ -lapachone complexed with HPBCD. Regular  $\beta$ -cyclodextrins are not 30 suitable for formulations intended for parenteral administration, but may be used for the

preparation of formulations for oral administration. As recited, experimentation has determined that the solubility of  $\beta$ -lapachone increases linearly with the increase in HPBCD concentration.

While  $\beta$ -lapachone is the preferred compound for use in the composition in accordance with the present invention, the invention is not limited in this respect, and  $\beta$ -lapachone derivatives or analogs, such as lapachol, are considered to be a part of the present invention.

Other particular formulations in accordance with the present invention are set forth herein below and in the Examples section. In general, the  $\beta$ -lapachone compounds may be prepared in a number of ways well known to one skilled in the art of organic synthesis.  $\beta$ -lapachone may be synthesized using methods generally described below, together with synthetic methods known in the art of synthetic organic chemistry, or variations thereon as appreciated by those skilled in the art. Preferred methods include, but are not limited to, those methods described below.

As discussed above,  $\beta$ -lapachone as a single agent has been shown to have significant cytotoxic activity for a wide variety of cancer cell lines, with  $IC_{50}$  values in the low (1-10) micromolar range. *In vitro* studies have demonstrated that these micromolar concentrations of  $\beta$ -lapachone totally abolished colony formation when applied to tumor cell cultures in combination with  $IC_{50}$  levels of Taxol® (paclitaxel). These studies have further shown that  $\beta$ -lapachone acts synergistically with Taxol®, which contains the active compound paclitaxel, to significantly augment effectiveness of either agent alone without attendant increases in toxicity (Li, C.J. *et al.*, *Proc Natl Acad Sci U.S.A.* 96 (1999) 13369).

Potent inhibition of *in vivo* tumor growth by  $\beta$ -lapachone plus Taxol® has been demonstrated in a xenograft model of human ovarian cancer in nude mice. Potent anti-tumor activity has also been demonstrated in female nude mice bearing human breast cancer xenografts (discussed in detail in the Examples below).

Solubilized  $\beta$ -lapachone may also be combined with other taxane derivatives and anticancer agents. In the combination, solubilized  $\beta$ -lapachone may be admixed with the anticancer agent or taxane derivative, and provided in a single vial, or they may each be provided in a separate vial. When the solubilized  $\beta$ -lapachone and the anticancer agent or taxane derivative is provided in separate vials, the contents of each vial may be administered to the patient simultaneously or sequentially.

In another embodiment, solubilized  $\beta$ -lapachone may be administered in combination with radiation therapy. Advantageously, a patient will undergo radiation therapy a predetermined number of hours prior to or following  $\beta$ -lapachone administration as determined by the medical clinician treating the patient.

5 The type and amount of  $\beta$ -lapachone and the HPBCD or other carrier used will vary widely depending on the species of the warm blooded animal or human, body weight, and tumor being treated. Likewise, the dosage administered will vary depending upon known factors, such as the pharmacodynamic characteristics of the particular agent and its mode and route of administration, the age, health and weight of the recipient; the nature and extent of the 10 symptoms; the kind of concurrent treatment; the frequency of treatment; and the effect desired.

The dosage administered will vary depending upon known factors such as the pharmacodynamic characteristics of the particular active ingredient, and its mode and route of administration; age, sex, health, metabolic rate, absorptive efficiency and/or weight of the recipient; nature and extent of symptoms; kind of concurrent treatment, frequency of treatment; and the effect desired. In a preferred embodiment, the dosage can be between approximately 0.1 mg/kg to 10 mg/kg administered from between twice weekly to once every four weeks.

As used herein, the term "therapeutically effective amount" means that amount of a drug or pharmaceutical agent that will elicit the biological or medical response of a tissue, system animal or human that is being sought by a researcher or clinician.

20 It is especially advantageous to formulate oral or parenteral compositions in dosage unit form for ease of administration and uniformity of dosage. A dosage unit may comprise a single compound, i.e.,  $\beta$ -lapachone, or mixtures thereof with other compounds or other cancer inhibiting compounds or tumor growth inhibiting compounds or anti-viral compounds. Compositions suitable for parenteral administration advantageously include aqueous sterile 25 injection solutions, but may also include non-aqueous solutions, which may contain anti-oxidants, buffers, bacteriostats and solutes which render the formulation isotonic with the blood of the intended recipient; and aqueous and non-aqueous sterile suspensions which may include suspending agents and thickening agents. The formulations may be present in unit-dose or multi-dose containers, for example, sealed in ampules and vials, and as discussed herein, may be 30 stored in lyophilized condition requiring only the addition of the sterile liquid carrier, for

example, water, for injections, immediately prior to use. Dosage unit form as used herein refers to physically discrete units suited as unitary dosages for the subject to be treated; each unit containing a predetermined quantity of active compound calculated to produce the desired therapeutic effect in association with the required pharmaceutical carrier. Extemporaneous  
5 injection solutions and suspensions may be prepared from sterile powders, granules and tablets as known in the art for the preparation of such solutions. The specifications for the dosage unit forms of the invention are dictated by and directly dependent on the unique characteristics of the active compound and the particular therapeutic effect to be achieved, and the limitations inherent in the art of compounding such an active compound for the treatment of individuals.

10 It should be understood that in addition to the ingredients particularly mentioned with regard to the specific compositions and formulations of the present invention, the compositions and formulations of this invention may include other agents convention in the art having regard to the type of formulation in question, for example, those suitable for oral administration may include flavoring and coloring agents.

15 In addition to the complex of  $\beta$ -lapachone with a HPBCD in accordance with the present invention, pharmaceutical compositions suitable for parenteral administration via injection or infusion may also include sterile aqueous solutions (where water soluble) or dispersions and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions. For intravenous administration, suitable carriers include physiological saline, bacteriostatic  
20 water, Cremophor EL™ (BASF, Parsippany, N.J.) or phosphate buffered saline (PBS). The carrier can be a solvent or dispersion medium containing, for example, water, ethanol, polyol (for example, glycerol, propylene glycol, and liquid polyethylene glycol, and the like), oil and suitable mixtures thereof. In all cases, the composition must be sterile and should be fluid to the extent that easy syringeability exists. It must be stable under the conditions of manufacture and  
25 storage and must be preserved against the contaminating action of microorganisms such as bacteria and fungi. The proper fluidity can be maintained, for example, by the use of a coating such as lecithin, by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. Prevention of the action of microorganisms can be achieved by various antibacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, ascorbic acid,  
30 thimerosal, and the like. In many cases, it will be preferable to include isotonic agents, for

example, sugars, polyalcohols such as mannitol, sorbitol, sodium chloride in the composition. Prolonged absorption of the injectable compositions can be brought about by including in the composition an agent which delays absorption, for example, aluminum monostearate and gelatin. Parenteral and intravenous compositions may also include minerals and other materials to 5 facilitate their compatibility with the type of injection or delivery system to be used. Additionally, solutions for parenteral administration may contain a water soluble salt of the active compound, suitable stabilizing agents, and if necessary, buffer substances. Antioxidizing agents such as sodium bisulfite, sodium sulfite, or ascorbic acid, either alone or combined, are suitable stabilizing agents. Also used are citric acid and its salts and sodium EDTA. In addition, 10 parenteral solutions can contain preservatives, such as benzalkonium chloride, methyl- or propyl-paraben, and chlorobutanol.

15 Sterile injectable solutions can be prepared by incorporating the active compound in the required amount in an appropriate solvent with one or a combination of ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the active compound into a sterile vehicle that contains a basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, methods of preparation are vacuum drying and freeze-drying that yields a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered solution thereof. The  $\beta$ -lapachone, derivative or analog 20 complexes described herein can be freeze-dried, then reconstituted in aqueous solution and be substantially soluble (see Example 5 below).

25 For oral administration in liquid dosage form, the oral drug components are preferably combined with  $\beta$ -cyclodextrin and more preferably hydroxylpropyl- $\beta$ -cyclodextrin, however the invention is not limited in this respect, and the oral drug components may be combined with any oral, non-toxic, pharmaceutically acceptable inert carriers such as ethanol, glycerol, water, oils and the like. Moreover, when desired or necessary, suitable binders, lubricants, disintegrating agents, and coloring agents can also be incorporated into the mixture. Suitable binders include starch, gelatin, natural sugars such as glucose or beta-lactose, corn sweeteners, natural and synthetic gums such as acacia, tragacanth, or sodium alginate, carboxymethylcellulose, 30 polyethylene glycol, waxes, and the like. Lubricants used in these dosage forms include sodium

oleate, sodium stearate, magnesium stearate, sodium benzoate, sodium acetate, sodium chloride, and the like. Disintegrators include, without limitation, starch, methyl cellulose, agar, bentonite, xanthan gum, and the like. Liquid dosage forms for oral administration can also contain coloring and flavoring to increase patient acceptance.

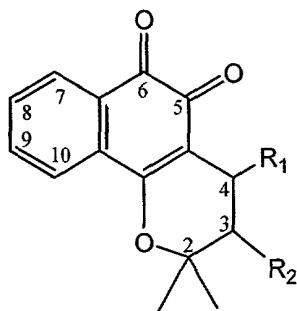
5        Additional examples of suitable liquid dosage forms may include solutions or suspensions in water, pharmaceutically acceptable fats and oils, alcohols or other organic solvents, including esters, emulsions, syrups or elixirs, suspensions, solutions and/or suspensions reconstituted from non-effervescent granules and effervescent preparations reconstituted from effervescent granules. Such liquid dosage forms may also contain, additional solvents, 10 preservatives, emulsifying agents, suspending agents, diluents, sweeteners, thickeners, and melting agents.

15        The active compounds may also be coupled with soluble polymers as targetable drug carriers. Such polymers can include polyvinylpyrrolidone, pyran copolymer, polyhydroxylpropylmethacrylamide-phenol, polyhydroxyethylaspartamidephenol, or polyethyleneoxide-polylysine substituted with palmitoyl residues. Furthermore, the compounds of the present invention may be coupled to a class of biodegradable polymers useful in achieving controlled release of a drug, for example, polylactic acid, polyglycolic acid, copolymers of polylactic and polyglycolic acid, polyepsilon caprolactone, polyhydroxy butyric acid, polyorthoesters, polyacetals, polydihydropyrans, polycyanoacrylates, and crosslinked or 20 amphipathic block copolymers of hydrogels.

25        The active compounds of this invention are intended for administration as treatment for cancer and the inhibition of tumors, by any means that produces contact of the active compounds with the agent's site of action in the body. As recited, the preferred mode of administering the  $\beta$ -lapachone active ingredient is via parenteral administration, preferably intravenous administration (bolus or infusion). The invention is not however limited in this respect, and the active ingredients in accordance with this invention can be administered by any conventional means available for use in conjunction with pharmaceuticals, either as individual therapeutic agents or in a combination with other therapeutic agents with the intention of inhibiting tumors. For example, the active compounds may also be administered intraperitoneally, subcutaneously, 30 or intramuscularly. The active compounds can be administered alone, but generally are

administered with a pharmaceutical carrier selected on the basis of the chosen route of administration and standard pharmaceutical practice.

Although the present invention has been described to this point with specific regard to  $\beta$ -lapachone itself, analogs and derivatives of  $\beta$ -lapachone are also intended to be a part of the pharmaceutical compositions and formulations of the present invention. Such  $\beta$ -lapachone analogs include those recited in PCT International Application PCT/US93/07878 (WO 94/04145), which is incorporated by reference herein in its entirety, and which discloses compounds of the formula:

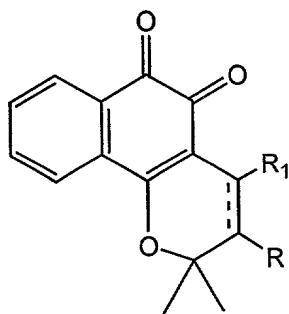


where R and R<sub>1</sub> are each independently hydrogen, substituted and unsubstituted aryl, substituted and unsubstituted alkenyl, substituted and unsubstituted alkyl and substituted or unsubstituted alkoxy. The alkyl groups preferably have from 1 to about 15 carbon atoms, more preferably from 1 to about 10 carbon atoms, still more preferably from 1 to about 6 carbon atoms. The term alkyl unless otherwise modified refers to both cyclic and noncyclic groups, although of course cyclic groups will comprise at least three carbon ring members. Straight or branched chain noncyclic alkyl groups are generally more preferred than cyclic groups. Straight chain alkyl groups are generally more preferred than branched. The alkenyl groups preferably have from 2 to about 15 carbon atoms, more preferably from 2 to about 10 carbon atoms, still more preferably from 2 to 6 carbon atoms. Especially preferred alkenyl groups have 3 carbon atoms (*i.e.*, 1-propenyl or 2-propenyl), with the allyl moiety being particularly preferred. Phenyl and napthyl are generally preferred aryl groups. Alkoxy groups include those alkoxy groups having one or more oxygen linkage and preferably have from 1 to 15 carbon atoms, more preferably from 1 to about 6 carbon atoms. The substituted R and R<sub>1</sub> groups may be substituted at one or more available positions by one or more suitable groups such as, for example, alkyl groups such as

alkyl groups having from 1 to 10 carbon atoms or from 1 to 6 carbon atoms, alkenyl groups such as alkenyl groups having from 2 to 10 carbon atoms or 2 to 6 carbon atoms, aryl groups having from six to ten carbon atoms, halogen such as fluoro, chloro and bromo, and N, O and S, including heteroalkyl, *e.g.*, heteroalkyl having one or more hetero atom linkages (and thus 5 including alkoxy, aminolakyl and thioalkyl) and from 1 to 10 carbon atoms or from 1 to 6 carbon atoms.

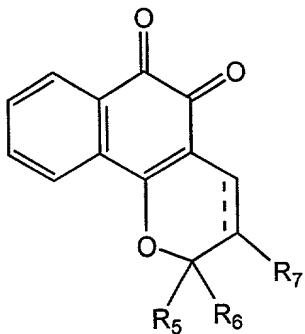
Other  $\beta$ -lapachone analogs contemplated in accordance with the present invention include those described in U.S. Patent No. 6,245,807, which is incorporated by reference herein in its entirety, and which discloses  $\beta$ -lapachone analogs and derivatives having the structure:

10



where R and R<sub>1</sub> are each independently selected from hydrogen, hydroxy, sulfhydryl (SH), halogen, substituted alkyl, unsubstituted alkyl, substituted alkenyl, unsubstituted alkenyl, substituted aryl, unsubstituted aryl, substituted alkoxy unsubstituted alkoxy, and salts thereof, 15 where the dotted double bond between the ring carbons represents an optional ring double bond.

Additional  $\beta$ -lapachone analogs and derivatives are recited in PCT International Application PCT/US00/10169 (WO00/61142), which is incorporated by reference herein in its entirety, and which disclose compounds of the structure:



where R<sub>5</sub> and R<sub>6</sub> may be independently selected from hydroxy, C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> alkenyl, C<sub>1</sub>-C<sub>6</sub> alkoxy, C<sub>1</sub>-C<sub>6</sub> alkoxycarbonyl, -(CH<sub>2</sub>)<sub>n</sub>-phenyl; and R<sub>7</sub> is hydrogen, hydroxyl, C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> alkenyl, C<sub>1</sub>-C<sub>6</sub> alkoxy, C<sub>1</sub>-C<sub>6</sub> alkoxycarbonyl, -(CH<sub>2</sub>)<sub>n</sub>-amino, -(CH<sub>2</sub>)<sub>n</sub>-aryl, -(CH<sub>2</sub>)<sub>n</sub>-heteroaryl, -(CH<sub>2</sub>)<sub>n</sub>-heterocycle, or -(CH<sub>2</sub>)<sub>n</sub>-phenyl, wherein n is an integer from 0 to 10.

Other β-lapachone analogs and derivatives are disclosed in U.S. Pat. No. 5,763,625 and U.S. Pat. No. 5,824,700, as well as in scientific journal articles, such as Sabba *et al.*, *J Med Chem* 27:990-994 (1984), which discloses β-lapachone with substitutions at one or more of the following positions: 2-, 8- and/or 9- positions. *See also* Portela *et al.*, *Biochem Pharm* 51:275-283 (1996) (substituents at the 2- and 9- positions); Maruyama *et al.*, *Chem Lett* 847-850 (1977); Sun *et al.*, *Tetrahedron Lett* 39:8221-8224 (1998); Goncalves *et al.*, *Molecular and Biochemical Parasitology* 1:167-176 (1998) (substituents at the 2- and 3- positions). Each of the above-mentioned references are incorporated by reference herein in their entirety.

The present invention also includes methods for treating cancer by administering to a patient the compositions and formulations of the present invention. In a preferred embodiment, the method comprises the parenteral administration of the compositions and formulations to a patient, preferably via intravenous injection or infusion.

Additional information with regard to the methods of making the compositions and formulations and the ingredients comprising the compositions and formulations in accordance with the present invention can be found in standard references in the field, such as for example, "Remington's Pharmaceutical Sciences", Mack Publishing Co., Easter, PA, 15<sup>th</sup> Ed. (1975).

The present invention also includes pharmaceutical kits which comprise one or more containers containing a pharmaceutical composition comprising a therapeutically effective

amount of an active compound. Such kits may further include, if desired, one or more of various conventional pharmaceutical kit components, such as, for example, containers with one or more pharmaceutically acceptable carriers, additional containers, etc., as will be readily apparent to those skilled in the art. In a preferred embodiment, a kit is provided for the treatment of a  
5 mammalian cancer comprising at least one vial containing  $\beta$ -lapachone, or a derivative or analog thereof. In another preferred embodiment, a kit is provided for the treatment of a mammalian tumor comprising one or more vials containing a complex of a therapeutically effective amount of  $\beta$ -lapachone, or a derivative or analog thereof, and a pharmaceutically acceptable, water-solubilizing carrier molecule and further comprising, within in the same vial or a separate vial,  
10 an anticancer agent, particularly a taxane derivative.

Printed instructions, either as inserts or as labels, indicating quantities of the components to be administered, guidelines for administration, and/or guidelines for mixing the components, may also be included in the kit. In the present disclosure it should be understood that the specified materials and conditions are important in practicing the invention but that unspecified materials and conditions are not excluded so long as they do not prevent the benefits of the  
15 invention from being realized.

The invention is further defined by reference to the following examples. It will be apparent to those skilled in the art that many modifications, both to the materials and methods, may be practiced without departing from the purpose and interest of the invention.  
20

### EXAMPLES

**1. Evaluation of acceptable solvent systems known to solubilize hydrophobic drug substances**

**a. Preparation of  $\beta$ -lapachone and Hydroxypropyl- $\beta$ -Cyclodextrin (HPBCD) Solution**

Various pharmaceutically acceptable solvent systems known to solubilize hydrophobic drug substances were evaluated with  $\beta$ -lapachone. As shown in Table 3 below, solutions meeting the targeted minimum concentration (10 mg/ml) were achieved in several of the solutions evaluated. However, none of these systems could be diluted 5X with sterile saline  
25 without significant precipitation of the  $\beta$ -lapachone from solution. In addition, most of these co-

solvents and surfactants have their own toxicity and tolerability issues that need to be managed during high dose drug administration.

Table 3:

Solvent System	Undiluted (mg/ml)	5 X dilution* (mg/ml)
Poloxamer (20%)	2.0350	0.0331
Povidone K17 (20%)	1.8250	0.0312
Povidone K12 (20%)	1.8600	0.0313
Tween 80	11.1700	1.6550
EtOH (76%)	10.6600	0.1025
PEG 400	11.6800	0.1400
Propylene Glycol	8.7800	0.0950
Trappsol (20%)	1.4650	0.0300

\*diluted in 0.9% saline

5 In light of the above, two different strategies were used to enhance  $\beta$ -lapachone solubility in aqueous solution. First,  $\beta$ -lapachone was treated with metal chelating agents, such as calcium and magnesium, to form soluble complexes; second,  $\beta$ -lapachone was treated with the solubilizing agents  $\beta$ -cyclodextrin and  $\gamma$ -cyclodextrin to form soluble inclusion complexes. In order to evaluate these four reagents,  $^{14}\text{C}$ -labeled  $\beta$ -lapachone in a small volume of ethanol was 10 added to aqueous solutions of the reagents (or to PBS buffer as a control), then the relative solubility of  $\beta$ -lapachone in each of the solutions was measured in terms of radioactivity remaining in the supernatant after centrifugation.

15 Specifically, to individual 1.5 ml Eppendorf tubes containing 900  $\mu\text{l}$  of PBS buffer were added the following: 8 mM  $\text{CaCl}_2$  in PBS buffer, 8 mM of  $\text{MgCl}_2$  in PBS buffer, 8 mM  $\beta$ -cyclodextrin in PBS buffer, and 8 mM  $\gamma$ -cyclodextrin in PBS buffer. 10  $\mu\text{l}$  of  $\text{C}^{14}$  labeled  $\beta$ -lapachone (40,000 CPM, 0.55  $\mu\text{g}$ ) in 75% ethanol was then added to each tube. After vortexing and centrifuging at 13,000 rpm for 10 min, 100  $\mu\text{l}$  of the supernatant solution was counted for 20 radioactivity using a Beckman Scintillation Counter. To the remaining mixture, 0.5  $\mu\text{g}$  (50  $\mu\text{l}$  of 10 mg/ml solution) or 600  $\mu\text{g}$  of  $\beta$ -lapachone in 75% ethanol was added. After vortexing and centrifuging at 13,000 rpm for 10 min, 100  $\mu\text{l}$  of the supernatant solution was counted again for radioactivity.

When 0.5  $\mu\text{g}$  of  $\beta$ -lapachone was added, almost 100% of the  $\beta$ -lapachone was present in the supernatant for all five aqueous solutions. However, when 600  $\mu\text{g}$  of  $\beta$ -lapachone was

added, only  $\beta$ -cyclodextrin solution retained more than 50% of the  $\beta$ -lapachone in the supernatant. The percentage of labeled  $\beta$ -lapachone in the supernatant was determined by counting in a scintillation counter. FIG. 1 illustrates the relative solubility of  $\beta$ -lapachone in aqueous solutions of various solubilizing agents. In FIG. 1, solution 1 consisted of PBS buffer, 5 solution 2 consisted of 8 mM CaCl<sub>2</sub> in PBS buffer, solution 3 consisted of 8 mM MgCl<sub>2</sub> in PBS buffer, solution 4 consisted of 8 mM  $\beta$ -cyclodextrin in PBS buffer, and solution 5 consisted of 8 mM  $\gamma$ -cyclodextrin in PBS buffer.

10 **b. Effect of Hydroxypropyl- $\beta$ -Cyclodextrin (HPBCD) Concentration on  $\beta$ -**

**lapachone Solubility**

15 Because  $\beta$ -cyclodextrin is suitable for oral, but not for parenteral use, its analog HPBCD was selected for further study. To examine the effect of HPBCD concentration on  $\beta$ -lapachone solubility,  $\beta$ -lapachone in small volumes of ethanol was added to eight aqueous solutions with varying concentrations of HPBCD (0 - 16 mM or 0 - 25 % (w/w)), then relative solubility was determined by measuring the percentage of radioactivity remaining in the supernatant after centrifugation. In order to eliminate the possible effect of ethanol and determine if solubility enhancement can be maintained after lyophilization, the mixtures were lyophilized and then re-dissolved into the same volume of water. The percentage of  $\beta$ -lapachone in the supernatant of the re-dissolved mixture was measured to ensure complete resolubilization of the lyophilized material.

20 Specifically, to individual 1.5 ml Eppendorf tubes, sufficient amounts of water, 50 mM HPCD solution <sup>14</sup>C-labeled  $\beta$ -lapachone solution in 75% ethanol, 10 mg/ml  $\beta$ -lapachone solution in ethanol, and 0.9% NaCl solution were added to prepare 1 ml solutions with component concentrations listed in the following table:

25

Tube #	1	2	3	4	5	6	7	8	9
HPCD, Mm	0	0	1	2	4	6	8	12	16
<sup>14</sup> C- $\beta$ -lapachone, CPM	60K								
$\beta$ -lapachone, mM	0	1	1	1	1	1	1	1	1
NaCl, % (w/v)	0.9	0.9	0.9	0.9	0.9	0.9	0.9	0.9	0.9

After vortexing and centrifuging at 13,000 rpm for 10 min, 100  $\mu$ l of supernatant from each tube was counted for radioactivity using a Beckman Scintillation Counter. The rest of the mixtures (900  $\mu$ l each) were lyophilized and then re-dissolved in 900  $\mu$ l of water. After vortexing and centrifuging at 13,000 rpm for 10 min, 100  $\mu$ g/ml of supernatant from each tube was counted again for radioactivity.

5 FIG. 2 shows that  $\beta$ -lapachone solubility increases with increased HPBCD concentration, and that the  $\beta$ -lapachone can be fully resolubilized following lyophilization.

10 **c. Preparation of  $\beta$ -lapachone and Hydroxypropyl- $\beta$ -Cyclodextrin (HPBCD)**

15 **Solution by Heating**

A  $\beta$ -lapachone/HPBCD solution was prepared without prior solubilization of the  $\beta$ -lapachone in ethanol solution.  $\beta$ -lapachone was combined with aqueous solutions of HPBCD in varying concentrations and the mixtures were heated to 70 °C, then allowed to cool to room temperature. The cooled solutions were filtered (0.22 $\mu$ ), and the amount of the solubilized  $\beta$ -lapachone was measured by HPLC analysis. The solubility of  $\beta$ -lapachone in various aqueous solutions of HPBCD is provided in Table 4 .

15 Table 4:

HPBCD % (m/M)	$\beta$ -lapachone Conc. (mg/ml)
50 (325)	19.7
40 (260)	15.8
30 (195)	10.8
20 (130)	7.4
10 (65)	3.1

20 A maximum concentration of 19.7 mg/ml of  $\beta$ -lapachone was achieved in 50% HPBCD solution (highest concentration tested). The addition of saline or ethanol did not significantly enhance the solubility of  $\beta$ -lapachone in HPBCD.

**d. HPLC Analysis and UV Measurement of  $\beta$ -lapachone Solution in 75% Ethanol and Aqueous Solution of  $\beta$ -lapachone-HPBCD Complex**

5      5  $\mu\text{g/ml}$  solutions of  $\beta$ -lapachone were prepared for HPLC and UV analysis by diluting either 200  $\mu\text{g/ml}$  ethanolic solutions or 5 mg/ml HPBCD solutions with water. UV measurements at 258 nm were performed using routine procedures with 2% ethanol or 200  $\mu\text{g/ml}$  HPBCD as reference solutions. For HPLC analysis, 100  $\mu\text{l}$  of the resulting 5  $\mu\text{g/ml}$   $\beta$ -lapachone solutions was injected into a C<sup>18</sup> reverse phase analytical column, and a linear gradient from 25% to 75% B buffer in 10 min at flow rate of 1 ml/min was applied. Peaks were detected by UV absorption at 258 nm and quantitated by peak area ratio to external standards.

10      The  $\lambda_{\text{max}}$  for  $\beta$ -lapachone was observed at 258 nm from the UV spectrum. UV measurements of  $\beta$ -lapachone solution at 258 nm showed an extinction coefficient of 26620  $\text{M}^{-1}\text{cm}^{-1}$  for both  $\beta$ -lapachone alone and the  $\beta$ -lapachone-HPBCD complex.

15      FIG. 3 shows a typical HPLC chromatogram of a 5 mg/ml  $\beta$ -lapachone solution in 20% HPBCD, diluted to 5  $\mu\text{g/ml}$  in water for HPLC analysis.  $\beta$ -lapachone elutes at approximately 5.4 min. Chromatograms showed no difference in retention times and peak integration areas between  $\beta$ -lapachone alone at 5  $\mu\text{g/ml}$  in water and the comparable 5  $\mu\text{g/ml}$   $\beta$ -lapachone-HPBCD complex. These results suggest that the  $\beta$ -lapachone is not complexed with HPBCD at low concentrations (i.e., 5  $\mu\text{g/ml}$ ). When increasing quantities of HPBCD were added to the 5  $\mu\text{g/ml}$   $\beta$ -lapachone-HPBCD solution, HPLC analysis showed that a peak eluting at the void volume of the column (retention time of about 1.2 min) and presumed to be the  $\beta$ -lapachone-HPBCD complex, increased with size with a corresponding reduction of the  $\beta$ -lapachone peak (not shown). However, under the analytical conditions developed for  $\beta$ -lapachone quantitation, which requires dilution to 5  $\mu\text{g/ml}$ , the integration of the peak at ~5.4 min provides accurate quantitation of the total  $\beta$ -lapachone in the solution.

25

**e.  $\beta$ -lapachone Stability**

The stability of  $\beta$ -lapachone solutions either in 75% ethanol or an aqueous  $\beta$ -lapachone-HPBCD complex form was monitored by HPLC analysis. When stored in the dark at room temperatures, the  $\beta$ -lapachone-HPBCD solution showed significantly better stability than the

ethanolic solution. The HPBCD solution had no detectable degradation product peaks after 5 days of storage, and a single degradation product peak at about 0.1% at a retention time of 3.28 min after 21 days of storage. By comparison, ethanolic solutions stored in the dark showed significant loss of the  $\beta$ -lapachone peak after 5 days of storage (not shown). Significant stability 5 enhancement was also observed for the  $\beta$ -lapachone-HPBCD solution as compared to  $\beta$ -lapachone in 75% ethanol solution when both were exposed to light with normal room brightness at room temperature. However, the  $\beta$ -lapachone-HPBCD solution is still appreciably degraded upon exposure to light, with degradation products comprising 3.4% of total peak area by day 21 of exposure.

10 The mechanism of degradation of  $\beta$ -lapachone in alcohol solutions has been shown to involve photoreduction to a relatively stable, semireduced quinone radical (Ci, Xiohong, *et al.*, *J. Am. Chem. Soc.* 1989: 111, 1337-1343). In the above studies, the primary degradation product in ethanolic solutions was identified as the reduced (hydroquinone) form of  $\beta$ -lapachone through retention time comparisons with the product prepared by reduction of  $\beta$ -lapachone with sodium borohydride. This species, which elutes at approximately 6.9 min has not been detected in 15 HPBCD solutions of  $\beta$ -lapachone, which seem to show a different degradative pathway.

## 2. Lyophilization of the $\beta$ -lapachone/HPBCD Complex Solution

20  $\beta$ -lapachone/HPBCD complex solution samples were prepared in accordance with the procedure set forth in Example 1a and 1c. The samples were transferred into a lyophilization container and pre-cooled to -40 °C for 2h. Vacuum was applied to the container for 12-20 hours depending on the sample(s) (number, size, composition and other properties and characteristics of the samples) to provide a freeze-dried product. The lyophilized sample(s) were reconstituted with 5.9 ml of deionized water with agitation to provide  $\beta$ -lapachone at 10 mg/ml. The results of 25 the samples tested are shown in Table 5.

Table 5:

$\beta$ -lapachone/HPBCD system tested (All at 80mg/vial)

Formulation	Volume (ml)	Time to Dry/Reconstitute
HPBCD@40%/ $\beta$ -lapachone@10mg/ml (density = 1.125)	8	Long (~20 hr)/Long (>10 min)
HPBCD@26%/ $\beta$ -lapachone@6.7mg/ml	12	Short (~13 hr)/Short (~10 min)
HPBCD@20%/ $\beta$ -lapachone@5mg/ml	16	Short (~13 hr)/Short (~5 min)
HPBCD@10%/ $\beta$ -lapachone@2.5mg/ml	32	Long (>20 hr)

Based upon these results, HPBCD@40%/ $\beta$ -lapachone@10mg/ml accomplished the solubility requirement for 10 to 100 times dilution. If the solution is stable under the storage conditions, it is a suitable parenteral solution without lyophilization. If lyophilization is preferred, HPBCD@20%/ $\beta$ -lapachone@5mg/ml was demonstrated to be a good choice for speedy freeze-drying and relatively fast reconstitution.

### 3. *In Vitro* Study of $\beta$ -lapachone combined with Taxol®

Micromolar concentrations of  $\beta$ -lapachone have been shown to totally abolish colony formation when applied to tumor cell cultures in combination with IC<sub>50</sub> levels of Taxol®. In these studies, exponentially growing cells were seeded at 1,000 cells per well in six-well plates and allowed to attach for 48h.  $\beta$ -lapachone and/or Taxol®, solubilized in DMSO, were added to the wells. Control wells were treated with equivalent volumes of DMSO. After 4h cells were rinsed and fresh medium was added. Cultures were observed daily for 10-20 days and then were fixed and stained. Colonies of greater than 30 cells were scored as survivors. As shown in FIG. 4, synergistic inhibition of cancer cell survival was seen for a wide spectrum of human carcinoma cells of different histotypes, including ovarian, breast, prostate, melanoma, lung and pancreatic cell lines.  $\beta$ -lapachone or Taxol® alone were much less effective in decreasing cancer cell colony formation. The decreased cell survival was shown to be due to death by the MTT and tryptan blue exclusion assays. DNA laddering formation and annexin staining indicated that cell death was due to apoptosis.

Drug-drug interaction of  $\beta$ -lapachone and Taxol® was further evaluated in two ovarian tumor cell lines, OVCAR-3 and MDAH-2774 using isobogram analysis. The individual IC<sub>50</sub>

values for each drug were determined and then combinations of the two drugs at fixed ratios of their IC<sub>50</sub> concentrations were applied to the cells. Following a 4-day continuous exposure, cell viability was determined by MTT assay. As illustrated in FIGS. 5, 6 and 7, a pattern of synergistic cell kill was demonstrated by the combination of these two drugs in these cell lines.

5 In FIG. 5, when interpreting the combination curves, statistical comparisons were made with each test combination and the endpoints (100%  $\beta$ -lapachone and 100% Taxol®). A statistically significant observation requires that a difference exists between the combination ( $\beta$ -lapachone and Taxol®) absorbance value and both endpoint values ( $\beta$ -lapachone or Taxol® alone). If the majority of the values ( $\geq 3$  of 5) are statistically ( $p < 0.05$ ) below the line, then  
10 synergy is described. In FIG. 6, the drug combination is shown to be significantly different ( $p < 0.05$ ) than either drug alone at 3 of the 5 combinations evaluated. In FIG. 7, the drug combination is shown to be significantly more cytotoxic ( $p < 0.05$ ) than either drug alone at 5 of the 5 combinations evaluated.

$\beta$ -lapachone has also been shown to be active against cis-platinum-resistant cell lines.

15 The ovarian line A2780DDP is highly cis-platinum (cisplatin) resistant, with an IC<sub>50</sub> concentration for cisplatin typically  $> 100 \mu\text{M}$ . As shown in FIG. 8,  $\beta$ -lapachone as a single agent is equally cytotoxic to both the highly resistant line and to the parent line from which it is derived (A2780s). In testing  $\beta$ -lapachone against the cisplatin-resistant-cell lines, cells were exposed to  $\beta$ -lapachone solutions for 4h. The cells were then rinsed and fresh medium was  
20 added. Cultures were observed daily for 10-20 days and then were fixed and stained. Colonies of greater than 30 cells were scored as survivors.

#### 4. *In Vivo* Study of $\beta$ -lapachone combined with Taxol®

Human ovarian cancer cells (36M2, originally isolated from malignant ascites) were  
25 inoculated by i.p. injection into athymic female nude mice 24h after irradiation. In this model, metastatic foci form approximately 1 week after inoculation, and tumor nodules of the peritoneum and malignant ascites develop in 4-5 weeks. Ten days after tumor inoculation ( $10 \times 10^6$  cells), treatment regimens were initiated. The control group was treated with vehicle alone. In each typical treatment cycle, the  $\beta$ -lapachone alone group was treated with 25-50  
30 mg/kg i.p. of  $\beta$ -lapachone in Lipiodol solution and the Taxol® alone group was treated at mg/kg

i.p. (Taxol® formulation diluted in Lipiodol), both followed 24h later by i.p. injection of vehicle. In the combination group, nude mice were treated with  $\beta$ -lapachone at 25-50mg/kg, followed 24h later by Taxol® at 1mg/kg. All groups were treated for 10 cycles, with a 1-day break between each cycle. Mice were sacrificed two weeks later after the last treatment cycle (on day 5 50) to assess antitumor activity. Host toxicity was evaluated by general appearance and body weight.

FIG. 9 shows the representative results for one of three independent therapeutic experiments, each with 6 mice per group. The decrease in tumor number versus control was quite pronounced with  $\beta$ -lapachone alone (~75%). Mice treated with Taxol® alone showed a 10 slightly smaller effect (~60%), and both groups showed considerable reduction in the size of the tumor nodules and the amount of ascites. In animals treated with  $\beta$ -lapachone plus Taxol®, no malignant ascites were seen on the laparotomy, and the peritoneum was clean except for zero to three tiny foci per mouse. These foci were counted as tumor nodules although they appeared to be fibrotic scars. Mice treated with the combination regimen appeared healthy and did not lose body weight throughout the treatment period, and no gross abnormalities in the internal organs 15 were noted in the autopsy.

Potent anti-tumor activity was also demonstrated in female nude mice bearing human breast cancer xenografts (MCF-7 cell line). Treatment of mice was initiated after subcutaneous tumor nodules reached ~0.5 cm in diameter. As shown in FIG. 10, mice receiving six cycles of  $\beta$ -lapachone (50 mg/kg i.p.) and Taxol® (1 mg/kg i.p., 24h after  $\beta$ -lapachone dose) showed 20 dramatic reduction of tumor volume compared to controls. Furthermore, tumors in the treated mice did not increase in size as of the follow-up. In FIG. 10, the volume of subcutaneous tumor xenograft is shown in chart A and the body weight of the mice measured for 6 weeks after cessation of treatment is shown in chart B.

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### 5. Study of $\beta$ -lapachone formulation in Intralipid®

A 10 mg/ml concentrate of  $\beta$ -lapachone in ethanol was prepared. The concentrate was diluted 5X to provide 100-500  $\mu$ l total. A 2 mg/ml concentration of  $\beta$ -lapachone was prepared in 10% Intralipid® by dropwise addition of the ethanolic solution to the Intralipid® with vortexing. 30 No immediate evidence of precipitation or emulsion breaking was observed.

This procedure was repeated wherein the concentrate was diluted 10X to prepare 1 mg/ml  $\beta$ -lapachone in 10% Intralipid®. Ethanol solution was added dropwise to the Intralipid® with vortexing. No immediate evidence of precipitation or emulsion breaking was observed. After 3 days, the 2 mg/ml preparation had crystals, and the 1 mg/ml preparation showed no changes.

5 After 6 days, the 1 mg/ml preparation still showed no changes.

#### EQUIVALENTS

While there have been shown and described and pointed out fundamental novel features of the invention as applied to preferred embodiments thereof, it will be understood that various 10 omissions and substitutions and changes in the form and details of the disclosed invention may be made by those skilled in the art without departing from the spirit of the invention. It is the intention, therefore, to be limited only as indicated by the scope of the claims appended hereto.

It is to be understood that the drawings are not necessarily drawn to scale, but that they are merely conceptual in nature.